



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SHIMAMURA ET AL. Examiner: UNKNOWN
Serial No.: 10/656,483 Group Art Unit: 1745
Filed: SEPTEMBER 5, 2003 Docket: 10873.1283US01
Confirmation No.: 8701
Title: NEGATIVE ELECTRODE MATERIAL FOR NON-AQUEOUS ELECTROLYTE
SECONDARY BATTERY, METHOD FOR PRODUCING THE SAME AND NON-AQUEOUS
ELECTROLYTE SECONDARY BATTERY

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination, Filing Receipt Corrections, P.O. Box 1450, Alexandria, VA 22313-1450 on February 25, 2004.

By: 

Name: Tracy G. Vukobratovich

Commissioner for Patents
Office of Initial Patent Examination
Filing Receipt Corrections
P.O. Box 1450
Alexandria, VA 22313-1450

23552

PATENT TRADEMARK OFFICE

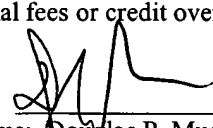
Sir:

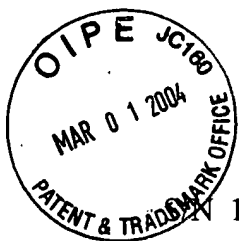
We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Other: Request for Corrected Filing Receipt, Copy of Filing Receipt with Corrections Marked in Red
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: 
Name: Douglas P. Mueller
Reg. No: 30,300
DMueller:PLStov



10/656,483

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SHIMAMURA ET AL. Examiner: UNKNOWN
Serial No.: 10/656,483 Group Art Unit: 1745
Filed: SEPTEMBER 5, 2003 Docket No.: 10873.1283US01
Title: NEGATIVE ELECTRODE MATERIAL FOR NON-AQUEOUS
ELECTROLYTE SECONDARY BATTERY, METHOD FOR
PRODUCING THE SAME AND NON-AQUEOUS ELECTROLYTE
SECONDARY BATTERY

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination, Filing Receipt Corrections, P.O. Box 1450, Alexandria, VA 22313-1450 on February 25, 2004.

By:

Name: Tracy J. McManis

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Filing Receipt Corrections
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed:

1. There are two assignee names that should be listed on the Assignment information. It should read --MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD., Kadoma-shi, JAPAN; SUMITOMO METAL INDUSTRIES, LTD., Osaka-shi, JAPAN-- rather than "MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD., Kadoma-shi, JAPAN".

2. The title of the patent application is incorrect. It should read --NEGATIVE ELECTRODE MATERIAL FOR NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY, METHOD FOR PRODUCING THE SAME AND NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY-- rather than "NEGATIVE ELECTRODE MATERIAL FOR NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY, METHOD FOR PRODUCING THE SAME AND NON-AQUEOUS ELECTRODLYTE SECONDARY BATTERY".

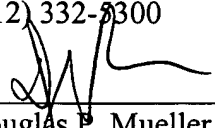
Correction of the records of the United States Patent and Trademark Office and
issuance of a corrected filing receipt are respectfully solicited.



Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-8300

Date: 2/25/04



Douglas P. Mueller
Reg. No. 30,300
DPM:PLStov



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/656,483	09/05/2003	1745	1014	10873.1283US01	3	30	4

23552
MERCHANT & GOULD PC
P.O. BOX 2903
MINNEAPOLIS, MN 55402-0903

DPM

CONFIRMATION NO. 8701

FILING RECEIPT



OC000000011541094

Date Mailed: 12/22/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Harunari Shimamura, Moriguchi-shi, JAPAN;
Takayuki Nakamoto, Sakai-shi, JAPAN;
Hideaki Ohyama, Chigasaki-shi, JAPAN;
Yasuhiko Bito, Minamikawachi-gun, JAPAN;

Assignment For Published Patent Application

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD., Kadoma-shi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2002-262036 09/06/2002

insert
-- Sumitomo Metal Industries, LTD,
Osaka-Shi, JAPAN --

If Required, Foreign Filing License Granted: 12/19/2003

Projected Publication Date: 04/01/2004

Non-Publication Request: No

Early Publication Request: No

Title

PROLAW

01

Negative electrode material for non-aqueous electrolyte secondary battery, method for producing the same and non-aqueous electrolyte secondary battery

-- electrolyte --

Preliminary Class

429

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

- The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).